

Strategic internal audit plan 2015/16 to 2017/18

Actual 2014-15	Review Type	Audit	Assurance		Audit Sponsor	2015-16	Days	2016-17	2017-18
			PCC	CC					
Corporate									
	C	Data Quality	✓	✓	DCC	✓	15.0		
	C	Business Continuity	✓	✓	DCC	✓	15.0		
	C	Health and safety arrangements	✓	✓	DCC			✓	
✓	C	Seized and found property	✓	✓	DCC				
	C	Recording and management of assets	✓	✓	DCC & CFO to CC	✓	15.0		
	C	Fleet/Vehicle management (inc. fuel)	✓	✓	CFO to the CC			✓	
	C	Tasking and Coordination	✓	✓	DCC	✓	15.0		
✓	C	Firearms licensing	✓	✓	DCC				✓
✓	C	Commissioning	✓		PCC CExec				✓
	C	Forensic services	✓	✓	DCC	✓	15.0		
	C	Strategic Partnering (OPCC)	✓		PCC CExec	✓	15.0		
Major Projects									
	MP	Collaboration arrangements (JOU)	✓	✓	DCC			✓	
✓	MP	Estates Strategy Review	✓		CFO to the PCC	✓	15.0		✓
✓	MP	Change Programme (Carry forward from 2013/14)	✓	✓	DCC			✓	
Corporate Governance									
✓	CG	Risk management	✓	✓	DCC & PCC CExec	✓	8.0		
✓	CG	Decision making and accountability	✓	✓	DCC				✓
✓	CG	Development and delivery of the Police and Crime Plan	✓	✓	DCC & PCC CExec			✓	
	CG	Police and Crime Plan	✓		PCC CExec				
✓	CG	National Fraud Initiative	✓	✓	CFO to the CC	✓	2.0	✓	✓
✓	CG	Pro-active Fraud work	✓	✓	HoPSD	✓	5.0	✓	✓
Financial Management									
✓	FM	Departmental responsibilities (note 1)	✓	✓	CFO to the CC & CFO to the PCC	✓	15.0	✓	✓
	FM	Contract management	✓	✓	CFO to the CC & CFO to the PCC	✓	15.0		
✓	FM	Compliance unit	✓	✓	CFO to the CC				
	FM	Pension arrangements - employer responsibilities	✓	✓	CFO to the CC & CFO to the PCC	✓	15.0		
✓	FM	Insurance costs and compensations	✓	✓	CFO to the CC				✓
✓	FM	OPCC procurement	✓		CFO to the PCC				
✓	FM	Finance system change (Efin to SAP)	✓	✓	CFO to the CC & CFO to the PCC				
H3									
✓	H3	Joint H3 review (see note 2)	✓	✓	CFO to the CC & CFO to the PCC	✓	50.0	✓	✓
Other Direct Audit Activity									
✓	OA	Annual Audit Report	✓	✓	-	✓	4.0	✓	✓
✓	OA	Operational plan preparation	✓	✓	-	✓	4.0	✓	✓
✓	OA	Monitoring	✓	✓	-	✓	4.0	✓	✓
✓	OA	Progress reports	✓	✓	-	✓	4.0	✓	✓
✓	OA	Liaison/advice	✓	✓	-	✓	10.0	✓	✓
✓	OA	Corporate Working Groups - PAG	✓	✓	-	✓	3.0	✓	✓
259*		Total					244.0		

*includes 15 days carried forward from 2013/14 for Change Programme audit

Actual 2014-15		Collaborations (HC and those with assurance to be obtained from other bodies):				2015-16		2016-17	2017-18
		Joint operations unit	✓	✓	Hampshire			✓	
		Information management	✓	✓	Thames Valley	✓		TBC	TBC
✓		ICT	✓	✓	Thames Valley	✓		TBC	TBC
		Air Support	✓	✓	National from 13/14	TBC		TBC	TBC
	SEROCU	South East Regional Organised Crime Unit (see note 3)	✓	✓	Thames Valley			TBC	TBC
	SECTU	South East Counter Terrorism Unit (SECTU).	✓	✓	Thames Valley	✓		TBC	TBC
	SEPPU	South East Protected Persons Unit (SEPPU).	✓	✓	Thames Valley	✓		TBC	TBC

Note 1 - This review will cover those aspects remaining at Hampshire Constabulary rather than through H3.

Note 2 -This will include risk assessed reviews of the areas now dealt with by H3 including but not limited to - payroll, main accounting, budgetary control, accounts receivable, accounts payable, procurement and HR.

Note 3 - The SEROCU includes Witness Protection, Covert Operations, Technical Surveillance and Regional Organised Crime Unit.

**Police and Crime Commissioner for Hampshire and Hampshire Constabulary
Changes to the 2015/16 internal audit plan from those presented in 2014/15**

Audit title	Removed or Added	Reasoning
Estates strategy review	Added	Chief Exec of the PCC requested due to the high priority risk involved for the PCC.
Strategic partnering	Added	Chief Exec of the PCC requested as high priority at the OPCC - need to ensure partnering appropriately in order to make best use of limited resources.
Departmental responsibilities	Name change	To cover the responsibilities of OPCC/Constabulary staff with regard to tasks and transactions before they are passed to H3.
Fleet/Vehicle management	Moved to 16/17	The Constabulary are currently making arrangements to join a consortium for this area - from April 2015. Defer audit to allow time for bedding in.
Change Programme	Moved from 14/15	Discussions with the Constabulary confirmed that Deloitte have done a lot of work on this area and it is due to go live in April 15. Timing for the audit was not right in 14/15.